| FORM | 4 |
|------|---|
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| Check this box if no  |
|-----------------------|
| longer subject to     |
| Section 16. Form 4 or |
| Form 5 obligations    |
| may continue. See     |
| Instruction 1(b).     |

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of<br>CARR EDWARD   | 2. Issuer Name and<br>ABEONA THEF |                     |  | - ·   | EO]           | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner |   |       |   |  |  |  |
|---|-----------------------------------|---------------------|--|---|---------------|--|---|-------|---|--|--|--|
| (Last)<br>1330 AVENUE OF<br>FLOOR   | (First)<br>THE AMERICA            | C AADD              | 3. Date of Earliest Transaction (Month/Day/Year)<br>08/10/2021                   |   |               |  |   |       | XOfficer (give title below)         Other (specify below)          Officer (give title below)        Officer            |  |  |  |
| NEW YORK, NY I  |                                   | 4. If Amendment, Da | ate Original   | Filed   | Month/Day/Yea | ar)  | 6. Individual or Joint/Group Filing(Check Applicable Line)<br>_X_Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |       |   |  |  |  |
| (City)  | (State)                           | (Zip)               | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |               |  |   |       |   |  |  |  |
| 1. Title of Security     2. Transaction       (Instr. 3)     Date       (Month/Day/Yea) |                                   |                     | Execution Date, if any   | 3. Transaction<br>Code4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 8)(Instr. 3, 4 and 5) |               |  | osed of   |       | 5. Amount of Securities Beneficially<br>Owned Following Reported     6.     7. 1       Transaction(s)     Form:     Ber |  |  |  |
|   |                                   |                     | (Month/Day/Year)   | Code  | v             | Amount   | (A) or<br>(D)   | Price | (Instr. 3 and 4)  | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) |  |  |
| Common stock  |                                   | 08/10/2021          |  | А   |               | 238,000<br>(1)   | А   | \$0   | 429,291   | D  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained SEC 1474 (9-02) in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

|                                      | (e.g., puts, calls, warrants, options, convertible securities) |                          |   |                        |      |                            |  |  |                    |  |                                     |                                      |  |  |                                       |
|--------------------------------------|--|--------------------------|---|------------------------|------|----------------------------|--|--|--------------------|--|-------------------------------------|--------------------------------------|--|--|---------------------------------------|
|                                      | Conversion   | Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code | tion | 5. Number of<br>Derivative |  | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Underlying<br>Securities |                                     | Derivative<br>Security<br>(Instr. 5) | Securities<br>Beneficially<br>Owned<br>Following | Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect | Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |  |                          |   | Code                   | v    | (A)                        |  | Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |                                      | (Instr. 4)                                       | (Instr. 4)   |                                       |
| Stock<br>Option<br>(right to<br>buy) | \$ 1.26  | 08/10/2021               |   | А                      |      | 476,000                    |  | <u>(2)</u>   | 08/10/2031         | Common<br>Stock                                    | 476,000                             | \$ 0                                 | 476,000  | D  |                                       |

# **Reporting Owners**

|  | Relationships |              |                         |       |  |  |  |  |
|--|---------------|--------------|-------------------------|-------|--|--|--|--|
| Reporting Owner Name / Address   | Director      | 10%<br>Owner | Officer                 | Other |  |  |  |  |
| CARR EDWARD<br>1330 AVENUE OF THE AMERICAS, 33RD FLOOD<br>NEW YORK, NY 10019 | 2             |              | Chief Financial Officer |       |  |  |  |  |

### Signatures

| /s/ Edward Carr                 | 08/12/2021 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date       |

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The restricted stock will vest 25% on each of August 10, 2022, August 10, 2023, August 10, 2024 and August 10, 2025.

(2) The options will vest 25% on August 10, 2022, and in 36 equal monthly installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.